

1-14-02

Please type a plus sign (+) inside this box [+]

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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JC978 10/04/86 PTO
10/22/01**UTILITY PATENT APPLICATION TRANSMITTAL**
(Only for new nonprovisional applications under 37 CFR 1.53(b))Attorney Docket No. 080398.P432
(maximum 12 characters)First Named Inventor Rising III et al.Title: TWO-STAGE MAPPING FOR APPLICATION SPECIFIC MARKUP AND BINARY ENCODINGExpress Mail Label No. EL821772727USADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D. C. 20231**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. Applicant Claims Small Entity Status. (37 CFR 1.27)
3. Specification (Total Pages 25)
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference sequence listing, a table,
or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawings(s) (35 USC 113) (Total Sheets 5)
5. Oath or Declaration (Total Pages 5)
 - a. Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 18 completed)
 - i. DELETIONS OF INVENTOR(S) Signed statement attached deleting
inventor(s) named in the prior application, see 37 CFR 1.63(d)(2)
and 1.33(b).
 - c. Unsigned.
6. Application Data Sheet. (37 CFR 1.76)
7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. **Assignment Papers (cover sheet & documents(s))**
10. _____ a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
- _____ b. Power of Attorney
11. _____ English Translation Document (if applicable)
12. _____ a. Information Disclosure Statement (IDS)/PTO-1449
- _____ b. Copies of IDS Citations
13. _____ **Preliminary Amendment**
14. **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**
15. _____ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. _____ **Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**
17. Other: Certificate of Express Mail with copy of postcard showing contents of Express Mail package.

18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation Divisional Continuation-in-part (CIP)
Of Prior Application No.: 09/904,271 Examiner _____ Group Art Unit _____
(which is a ___ continuation/ ___ divisional/ ___ CIP of prior application no. _____,
which is a ___ continuation/ ___ divisional/ ___ CIP of prior application no. _____) (List entire chain of priority)

Applicant(s): Also include a Preliminary Amendment to amend the specification to claim priority.

For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18B. Statement under 37 CFR 3.73(b) for continuing application:

The undersigned states that _____ (name of assignee) is the
assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment
recorded in the Patent and Trademark Office at Reel. No. _____ Frame No. _____ (or a copy of
which is attached).

19. Correspondence Address

Customer Number or Bar Code Label 008791
or _____ (Insert Customer No. or Attach Bar Code Label here)
 Correspondence Address Below

NAME Sheryl Sue Holloway

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS 12400 Wilshire Boulevard

Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (408) 720-8300 FAX (408) 720-9397

Name (PRINT/TYPE): Sheryl Sue Holloway Registration No.: 37,850

Signature: 

Date: October 22, 2001

FEE TRANSMITTAL FOR FY 2002TOTAL AMOUNT OF PAYMENT (\$) 1428.00**Complete if Known:**

Application No. Unassigned
 Filing Date Unassigned
 First Named Inventor Rising III et al.
 Group Art Unit Unassigned
 Examiner Name Unassigned
 Attorney Docket No. 080398.P432

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666
 Deposit Account Name _____

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed: Check

 Money Order

 Other

FEE CALCULATION**1. BASIC FILING FEE**

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
Fee	Fee	Fee	Fee		
Code	(\$)	Code	(\$)	Utility application filing fee	<u>740.00</u>
101	740	201	370	Design application filing fee	_____
106	330	206	165	Plant filing fee	_____
107	510	207	255	Reissue filing fee	_____
108	740	208	370	Provisional application filing fee	_____
114	160	214	80		

SUBTOTAL (1) \$ 740.00**2. EXTRA CLAIM FEES**

<u>Total Claims</u>	<u>- 20** =</u>	<u>Extra Claims</u>	<u>Fee from</u>	<u>Fee Paid</u>
			<u>below</u>	<u>=</u>
28	8		X 18.00	<u>144.00</u>
9	6		X 84.00	<u>504.00</u>
				= _____

**Or number previously paid, if greater; For Reissues, see below.

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
Fee	Fee	Fee	Fee		
Code	(\$)	Code	(\$)		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) \$ 648.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code	Fee (\$)	Fee Code (\$)	
105	130	205	65
127	50	227	25
139	130	139	130
147	2,520	147	2,520
099	8,800	099	8,800
112	920*	112	920*
113	1,840*	113	1,840*
115	110	215	55
116	400	216	200
117	920	217	460
118	1,440	218	720
128	1,960	228	980
119	320	219	160
120	320	220	160
121	280	221	140
138	1,510	138	1,510
140	110	240	55
141	1,280	241	640
142	1,280	242	640
143	460	243	230
144	620	244	310
122	130	122	130
123	50	123	50
126	180	126	180
581	40	581	40
146	740	246	370
148	110	248	55
149	740	249	370
179	740	279	370
169	900	169	900
195	300	195	300
196	300	196	300
194	130	194	130
098	130	098	130
091	1,280	091	1,280
Acceptance of unintentionally delayed claim for priority			<u>40.00</u>

Other fee (specify) _____

Other fee (specify) _____

SUBTOTAL (3) \$ 40.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: Sheryl Sue Holloway

Signature:  Date: October 22, 2001

Reg. Number: 37,850 Telephone Number: 408-720-8300

EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number:EL821772727US
Date of Deposit: October 22, 2001

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

Mara E. Brown

(Typed or printed name of person mailing paper or fee)

Mara E.

(Signature of person mailing paper or fee)

10/22/01

(Date signed)

Serial/Patent No.: ***** Filing/Issue Date: *****

Client: Sony Electronics, Inc.

Title: TWO-STAGE MAPPING FOR APPLICATION SPECIFIC MARKUP AND
BINARY ENCODING

BSTZ File No.: 080398, P432

Atty/Secty Initials: MES/SSH/mzb

Date Mailed: 10/22/01

Docket Due Date: *****

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- | | | |
|--|---|--|
| <input type="checkbox"/> Amendment/Response (<u> </u> pgs.) | <input checked="" type="checkbox"/> Express Mail No.: <u>EL821772727US</u> | <input checked="" type="checkbox"/> Check No. <u>46066</u> |
| <input type="checkbox"/> Appeal Brief (<u> </u> pgs.) (in triplicate) | <input type="checkbox"/> <u> </u> Month(s) Extension of Time | <u>Amt</u> <u>\$1388.00</u> |
| <input type="checkbox"/> Application - Utility (<u> </u> pgs., with cover and abstract) | <input type="checkbox"/> Information Disclosure Statement & PTO-140 (<u> </u> pgs.) | <input checked="" type="checkbox"/> Check No. <u>46067</u> |
| <input type="checkbox"/> Application - Rule 1.53(b) Continuation (<u> </u> pgs.) | <input type="checkbox"/> Issue Fee Transmittal | <u>Amt</u> <u>\$40.00</u> |
| <input type="checkbox"/> Application - Rule 1.53(b) Divisional (<u> </u> pgs.) | <input type="checkbox"/> Notice of Appeal | |
| <input checked="" type="checkbox"/> Application - Rule 1.53(b) CIP (<u>25</u> pgs.) | <input type="checkbox"/> Petition for Extension of Time | |
| <input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (<u> </u> pgs.) | <input type="checkbox"/> Petition for <u> </u> | |
| <input type="checkbox"/> Application - Design (<u> </u> pgs.) | <input checked="" type="checkbox"/> Postcard | |
| <input type="checkbox"/> Application - PCT (<u> </u> pgs.) | <input type="checkbox"/> Power of Attorney (<u> </u> pgs.) | |
| <input type="checkbox"/> Application - Provisional (<u> </u> pgs.) | <input type="checkbox"/> Preliminary Amendment (<u> </u> pgs.) | |
| <input checked="" type="checkbox"/> Assignment and Cover Sheet | <input type="checkbox"/> Reply Brief (<u> </u> pgs.) | |
| <input checked="" type="checkbox"/> Certificate of Mailing | <input type="checkbox"/> Response to Notice of Missing Parts | |
| <input checked="" type="checkbox"/> Declaration & POA (<u>5</u> pgs.) signed | <input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business | |
| <input type="checkbox"/> Disclosure Docs & Orig & Copy of Inventor's Signed Letter (<u> </u> pgs.) | <input checked="" type="checkbox"/> Transmittal Letter XXXXXX | |
| <input checked="" type="checkbox"/> Drawings: <u>5</u> # of sheets includes <u>6</u> figures | <input checked="" type="checkbox"/> Fee Transmittal, in duplicate | |

Other: Certificate of Express Mail with copy of postcard showing
contents of Express Mail package.